



Attorney's Docket No.: 16441-012002

11/18
JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John Christian Hermansen et al. Art Unit : 2641
Serial No. : 10/055,178 Examiner : Joon H. Hwang
Filed : January 25, 2002
Title : SYSTEM AND METHOD FOR ADAPTIVE MULTI-CULTURAL SEARCHING
AND MATCHING OF PERSONAL NAMES

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APR 16 2004

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REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received initialed copies of the enclosed PTO Forms 1449 that accompanied the information disclosure statements filed January 25, 2002 and April 22, 2002.

Applicants' records show that these information disclosure statements complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return these forms as soon as possible.

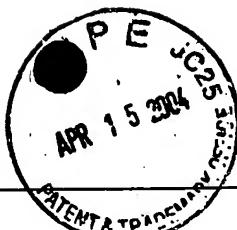
Respectfully submitted,

Date: APRIL 14, 2004



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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Form PTO-1449

| | |
|--------------------------|--------------------|
| Docket Number | Application Number |
| 20837-007-401 | 10/055,178 |
| <u>Applicant(s)</u> | |
| John C. HERMANSEN et al. | |
| Filing Date | Group Art Unit |
| January 25, 2002 | 2641 |

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

| REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations | |
|-----|--------------|------|---------|-------|----------|--------------|----|
| | | | | | | YES | NO |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|---|---|
| | A | FRENCH <i>et al.</i> , "Applications of Approximate Word Matching in Information Retrieval", ACM, 1997, pages 9-15. |
| | B | ZOBEL <i>et al.</i> , "Phonetic String Matching: Lessons from Information Retrieval", ACM, 1996, pages 166-172. |
| | C | MARX <i>et al.</i> , "Putting People First: Specifying Proper Names in Speech Interface", ACM, 1994, pages 29-37. |
| | D | VALENCIA, Yvon, <i>et al.</i> , "Identification de l'Origine Linguistique: le Cas des Noms Propres", Journees Scientifiques et Techniques du Reseau FRANCIL (JST97), Avignon, April 1997 (with English-language translation). |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

Form PTO-1449

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|--------------------------|--------------------|
| Docket Number | Application Number |
| 20837-007-401 | (unassigned) |
| Applicant(s) | |
| John C. HERMANSEN et al. | |
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| Herewith | (unknown) |

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FOREIGN PATENT DOCUMENTS

| REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|-----|--------------|------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

| | | |
|--|---|--|
| | X | HERMANSEN, John Christian, "Automatic Name Searching in Large Data Bases of International Names," a Doctoral Dissertation, Georgetown University, University Microfilms International, 1985. |
| | Y | Oshika, B.T., et al., "Improved Retrieval of Foreign Names from Large Databases", <u>IEEE</u> , February 1-5, 1988, pages 480-487. |

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